

**CERTIFICATION OF PRIMARY PARTICIPANT REGARDING
DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY
MATTERS**

The Primary Participant (applicant for an FTA grant or cooperative agreement, or potential contractor for a major third party contract) _____ certifies to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- 2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or Local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- 3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or Local) with commission of any of the offenses enumerated in paragraph (2) or this certification; and
- 4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or Local) terminated for cause or default.

If the primary participant (applicant for an FTA grant or cooperative agreement, or potential third party contractor) is unable to certify to any of the statements in this certification, the participant shall attach an explanation of this certification.

The Primary Participant (applicant for an FTA grant or cooperative agreement, or potential contractor for a major third party contract), _____ certifies or affirms the truthfulness and accuracy of the contents of the statements submitted on or with this certification and understands that the provisions of 31 U.S.C. Sections 3801 et seq. are applicable thereto.

Signature and Title of Authorized Official

Date: _____

SCHEDULE C

CONTRACTORS SCHEDULE OF DBE PARTICIPATION

(Must be submitted with proposal)

Name of Prime or General Vendor

Class 400 & 700 Bus Inspection Services & Buy America Audit
Name of Project

DBE Contractor On Schedule D	Description of Work	Start Date End Date	Agreed Price

(Attach Additional Copies If Necessary)

The undersigned agrees to enter into formal agreements with DBE contractors for the work listed on this schedule conditioned upon successful execution of a contract with Metro Bus.

Name of Company/Vendor

Name of Company Official/Title

Date

Signature

OR:

_____ No DBE is included in this bid/proposal.

Company/Vendor

Signature

Date

SCHEDULE D

CERTIFICATION OF COMPLIANCE WITH DBE REQUIREMENTS

(Must be submitted with proposal)

Requirements:

Areas requiring close attention are:

- * Reporting and Documentation requirements.
- * Computation of DBE Participation based on type of service/product provided.
- * Agreements between a bidder/proposer and a DBE in which the DBE promises not to provide subcontracting quotations to other bidders/proposers are prohibited.
- * DBEs must perform a useful function in relation to the project.

Proposers Certification:

A signature below is a commitment to fully comply with all the DBE requirements of this project.

Name of Company Official	Name of Company/Proposer
Name of DBE Contact Person Within Company	Signature of Company Official
Phone No. of DBE Contact Person	Project Name
Date	

OR:

_____ No DBE is included in this bid/proposal.

Company/Vendor	Signature	Date
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SCHEDULE F

DBE LETTER OF INTENT TO PERFORM AS A CONTRACTOR OR SUBCONTRACTOR

(Must be submitted with proposal)

Name of Prime or General Proposer	<u>Class 400 & 700 Bus Inspection Services & Buy America Audit</u> Name of Project
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The undersigned intends to perform work in connection with the above project as (Check one):

an individual **a corporation** **a partnership** **a joint venture**

The Disadvantaged Business Enterprise status of the undersigned is confirmed and current in the Minnesota Unified Certification Program Directory of DBEs (Check one):

Yes **No**

The undersigned is prepared to perform the following described work in connection with the above project. Use additional paper if necessary.

<u>Item</u>	<u>Agreed Price</u>
_____	_____
_____	_____
_____	_____

Information for Contractors:

St. Cloud Metropolitan Transit Commission requires all prime contractors to make proper payment to its subcontractors. Metro Bus may withhold payment from the prime contractor or take such other action as may be appropriate to insure that subcontractors are properly paid.

Agreements in which a DBE promises to provide bids, work estimates, team membership, etc. to only one bidder/proposer on a project is prohibited.

The undersigned agrees not to sublet or award a significantly greater portion of the work of this contract than would be expected on the basis of normal industry practices. The undersigned will be directly responsible for the execution of the work elements included in this contract agreement or any subcontract agreement and will carry out this responsibility by actually performing, managing and supervising the work involved in the contract or subcontract.

The undersigned will enter into a formal agreement for the above work as a prime bidder or as a subcontractor to the above named bidder/proposer conditioned upon execution of a contract for this project with Metro Bus.

_____	By: _____
(Name of Disadvantaged Business Enterprise)	(Name)
_____	_____
(Address)	(Signature)
_____	_____
(Date)	(Title)

OR:

_____ No DBE is included in this bid/proposal.	_____	_____	_____
	Company/Vendor	Signature	Date